



PATENT

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Commissioner for Patents, P.O. Box 1450, Alexandria,
VA 22313-1450 on February 10, 2004

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Kevin G. Rooney, Reg. No. 36,330
[Handwritten signature over the name]
2/10/04
Date

Applicants: James W. Schmitkons et al.
Serial No.: 10/699,288
Filed: October 31, 2003
Group Art Unit: 2881
Examiner: Unknown
Confirmation No.: 2565
Title: LAMP ASSEMBLY AND METHOD OF CONVERTING
BETWEEN FLOOD AND FOCUS CONDITIONS
Attorney Ref. No.: NOR-1109

Cincinnati, Ohio 45202

February 10, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in



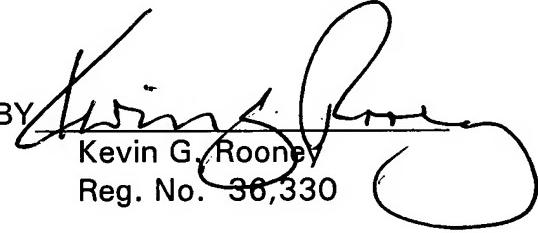
connection with the above-identified patent application. Copies of the cited references are enclosed herewith.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

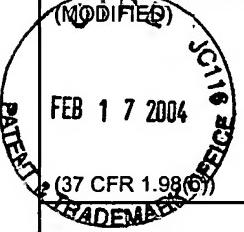
Applicants do not believe that any fees are due in connection with this submission. However, if such petition is due or any fees are necessary, the Commissioner may consider this to be a request for such and charge any necessary fees to deposit account 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

BY 
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SUBSTITUTE FORM PTO-1449 <i>(MODIFIED)</i>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE						ATTY. DOCKET NO. NOR-1109	SERIAL NO. 10/699,288
		INFORMATION DISCLOSURE STATEMENT BY APPLICANT						APPLICANT James W. Schmitkons et al.	
		(Use several sheets if necessary)						FILING DATE October 31, 2003	GROUP Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	3	8	1	9	9	2	9	6/1974	Newman		240	41.37	
	A.B	4	0	0	0	4	0	7	12/1976	Keller et al.		240	47	
	A.C	4	5	9	6	9	3	5	6/1986	Lumpp		250	495.1	
	A.D	5	0	9	4	0	1	0	3/1992	Jacobi et al.		34	1	
	A.E	5	3	2	1	5	9	5	6/1994	Jacobi et al.		362	373	
	A.F	6	4	5	7	8	4	6	10/2002	Cook et al.		362	321	
	A.G													
	A.H													
	A.I													
	A.J													
	A.K													

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L	GB2315850	2/11/98	UK			
	A.M	GB2336895	11/3/99	UK			
	A.N	GB2284469	6/7/95	UK			
	A.O	GB2024393	1/9/80	UK			
	A.P	GB1554253	10/17/79	UK			
	A.Q	EP0900977	3/10/99	EP			

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

A.R	
A.S	
A.T	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449 <i>(MODIFIED)</i>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. NOR-1109	SERIAL NO. 10/699,288	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B.A						
	B.B						
	B.C						
	B.D						
	B.E						
	B.F						
	B.G						
	B.H						
	B.I						
	B.J						
	B.K						
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	B.L	FR2334966	7/8/77	France			No
	B.M	JP58061831	4/13/83	Japan			Abstract Only
	B.N						
	B.O						
	B.P						
	B.Q						
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)							
	B.R						
	B.S						
	B.T						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.							